

# W-8BEN-E 填寫說明

Form **W-8BEN-E**  
(February 2014)  
Department of the Treasury  
Internal Revenue Service

## Certificate of Status of Beneficial Owner for United States Tax Withholding and Reporting (Entities)

OMB No. 1545-1621

Do NOT use this form for:

- U.S. entity or U.S. citizen or resident . . . . . W-9
- A foreign individual . . . . . W-8BEN (Individual)
- A foreign individual or entity claiming that income is effectively connected with the conduct of trade or business within the U.S. (unless claiming treaty benefits). . . . . W-8ECI
- A foreign partnership, a foreign simple trust, or a foreign grantor trust (unless claiming treaty benefits) (see instructions for exceptions) . . . . W-8BIMY
- A foreign government, international organization, foreign central bank of issue, foreign tax-exempt organization, foreign private foundation, or government of a U.S. possession claiming that income is effectively connected U.S. income or that is claiming the applicability of section(s) 115(2), 501(c), 892, 895, or 1443(b) (unless claiming treaty benefits) (see instructions) . . . . . W-8ECI or W-8EXP
- Any person acting as an intermediary . . . . . W-8BIMY

Instead use Form:

### Part I Identification of Beneficial Owner 實益所有人資料

1 Name of organization that is the beneficial owner 組織/企業名稱

2 Country of incorporation or organization 國家

3 Name of disregarded entity receiving the payment (if applicable) 無行企業實體之名稱 (如適用) 無行企業實體

4 Chapter 3 Status (entity type) (Must check one box only):

Simple trust 簡易信託  Grantor trust 讓與信託  Corporation 公司  Disregarded entity  Partnership 合夥

Central Bank of Issue 中央銀行  Tax-exempt organization 免稅組織  Complex trust 複合信託  Estate 地產  Government 政府機構

Private foundation 私立機構

If you entered disregarded entity, partnership, simple trust, or grantor trust above, is the entity a hybrid making a treaty claim? If "Yes" complete Part III. 若勾選以上四類之一，請問貴公司是否合併申請稅務協定？若是，請續填第二頁 14、15 題

5 Chapter 4 Status (FATCA status) (Must check one box only unless otherwise indicated). (See instructions for details and complete the certification below for the entity's applicable status). FATCA 身分 \*擇一勾選，除非有其他指示

Nonparticipating FFI (including a limited FFI or an FFI related to a Reporting IGA FFI other than a registered deemed-compliant FFI or participating FFI). 未簽署 FATCA 協議的外國金融機構

Participating FFI. 已簽署 FATCA 協議的外國金融機構

Reporting Model 1 FFI. IGA 採 Model 1 的外國金融機構

Reporting Model 2 FFI. IGA 採 Model 2 的外國金融機構

Registered deemed-compliant FFI 註冊視為遵循 FATCA 的 FFI

Sponsored FFI that has not obtained a GIIN. 未得到 GIIN 之委託的外國金融機構。請續填第二頁 16、17 題

Certified deemed-compliant nonregistering local bank. 公認視為遵循 FATCA-無須註冊的本地銀行。請續填第二頁 18 題

Certified deemed-compliant FFI with only low-value accounts. 公認視為遵循 FATCA-僅有低價值帳戶的 FFI。請續填第三頁 19 題

Certified deemed-compliant sponsored, closely held investment vehicle. 公認視為遵循 FATCA-少數人持有之委託投資工具。請續填第三頁 20、21 題

Certified deemed-compliant limited life debt investment entity. 公認視為遵循 FATCA-有限年數債券投資公司。請續填第三頁 22 題

Certified deemed-compliant investment advisors and investment managers. 公認視為遵循 FATCA-投資顧問和投資經理人。請續填第三頁 23 題

Owner-documented FFI. 已提供所有人資訊之外國金融機構。請續填第三、四頁 24 題

Restricted distributor. 指定用途基金管理者。請續填第四頁 25 題

Nonreporting IGA FFI 依跨國協議無須申報的 FFI。請續填第四頁 26 題

Foreign government, government of a U.S. possession, or foreign central bank of issue. 外國政府機構，美國屬地政府機構，外國中央銀行。請續填第五頁 27 題

International organization. 國際組織。請續填第五頁 28 題

Exempt retirement plans. 豁免退休方案。請續填第五、六頁 29 題

Entity wholly owned by exempt beneficial owners. 豁免受益人百分百持有之實體。請續填第六頁 30 題

Territory financial institution. 美國屬地金融機構。請續填第六頁 31 題

Nonfinancial group entity. 非金融集團之實體。請續填第六頁 32 題

Excepted nonfinancial start-up company. 成立不滿兩年的新創公司。請續填第六頁 33 題

Excepted nonfinancial entity in liquidation or bankruptcy. 清算中或破產中之非金融法人。請續填第六頁 34 題

501(c) organization. 屬美國 Section 501(C)之法人。請續填第六頁 35 題

Nonprofit organization. 非營利組織。請續填第七頁 36 題

Publicly traded NFFE or NFFE affiliate of a publicly traded corporation. 公開上市櫃公司或其內之關係企業。請續填第七頁 37 題

Excepted territory NFFE 在美國屬地成立的外國非金融機構。請續填第七頁 38 題

Active NFFE. 積極的外國非金融機構。請續填第七頁 39 題

Passive NFFE. 消極的外國非金融機構。請續填第七頁 40 題

Excepted inter-affiliate FFI. 外國金融機構之內部關係企業。請續填第七頁 41 題

Direct reporting NFFE. 直接申報之外國非金融機構

Sponsored direct reporting NFFE. 委託之直接申報的外國非金融機構。請續填第八頁 42、43 題

6 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address (other than a registered address). 永久居住地址 - 樓, 號, 段, 路, 街 (不可填寫郵政信箱或轉交地址)

City or town, state or province. Include postal code where appropriate. 市或鎮, 州或省 (如適用請包括郵遞區號) - 區, 鄉, 鎮, 縣, 市, 郵遞區號

Country 國家

7 Mailing address (if different from above) 郵寄地址 (如與上方地址不同) - 樓, 號, 段, 路, 街

City or town, state or province. Include postal code where appropriate. 市或鎮, 州或省 (如適用請包括郵遞區號) - 區, 鄉, 鎮, 縣, 市, 郵遞區號

Country 國家

8 U.S. taxpayer identification number (TIN), if required 美國賦稅編號, 如沒有請空白

9a  GIIN 全球中間機構識別號碼

b  Foreign TIN 外國賦稅編號

10 Reference number(s) (see instructions) 關聯號碼

Note. Please complete remainder of the form including signing the form in Part XXIX.



# W-8BEN-E 填寫說明

<b>W-8BEN-E</b>	<b>Certificate of Status of Beneficial Owner for United States Tax Withholding and Reporting (Entities)</b>	OMB No. 1545-1621
Form (February 2014) Department of the Treasury Internal Revenue Service	<p>▶ For use by entities. Individuals must use Form W-8BEN. ▶ Section references are to the Internal Revenue Code.</p> <p>▶ Information about Form W-8BEN-E and its separate instructions is at <a href="http://www.irs.gov/formw8bene">www.irs.gov/formw8bene</a>.</p> <p>▶ Give this form to the withholding agent or payer. Do not send to the IRS.</p>	

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  - A foreign partnership, a foreign simple trust, or a foreign grantor trust (unless claiming treaty benefits) (see instructions for exceptions) . . . W-8BIMY
  - A foreign government, international organization, foreign central bank of issue, foreign tax-exempt organization, foreign private foundation, or government of a U.S. possession claiming that income is effectively connected U.S. income or that is claiming the applicability of section(s) 115(2), 501(c), 892, 895, or 1443(b) (unless claiming treaty benefits) (see instructions) . . . . . W-8ECI or W-8EXP
  - Any person acting as an intermediary . . . . . W-8BIMY

**Part I Identification of Beneficial Owner 實益所有人資料**

<b>1</b> Name of organization that is the beneficial owner 組織/企業名稱	<b>2</b> Country of incorporation or organization 國家
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**3** Name of disregarded entity receiving the payment (if applicable)  
無行企業實體之名稱 (如適用) 無行企業實體

**4** Chapter 3 Status (entity type) (Must check one box only):

<input type="checkbox"/> Simple trust 簡易信託	<input type="checkbox"/> Grantor trust 讓與信託	<input type="checkbox"/> Corporation 公司	<input type="checkbox"/> Disregarded entity	<input type="checkbox"/> Partnership 合夥
<input type="checkbox"/> Central Bank of Issue 中央銀行	<input type="checkbox"/> Tax-exempt organization 免稅組織	<input type="checkbox"/> Complex trust 複合信託	<input type="checkbox"/> Estate 地產	<input type="checkbox"/> Government 政府機構
<input type="checkbox"/> Private foundation 私立機構				

If you entered disregarded entity, partnership, simple trust, or grantor trust above, is the entity a hybrid making a treaty claim? If "Yes" complete Part III. 若勾選以上四類之一，請問貴公司是否合併申請稅務協定？若是，請續填第二頁 14、15 題

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<input type="checkbox"/> Nonparticipating FFI (including a limited FFI or an FFI related to a Reporting IGA FFI other than a registered deemed-compliant FFI or participating FFI). 未簽署 FATCA 協議的外國金融機構 <input type="checkbox"/> Participating FFI. 已簽署 FATCA 協議的外國金融機構 <input type="checkbox"/> Reporting Model 1 FFI. IGA 採 Model 1 的外國金融機構 <input type="checkbox"/> Reporting Model 2 FFI. IGA 採 Model 2 的外國金融機構 <input type="checkbox"/> Registered deemed-compliant FFI 註冊視為遵循 FATCA 的 FFI <input type="checkbox"/> Sponsored FFI that has not obtained a GIIN. 未得到 GIIN 之委託的外國金融機構. 請續填第二頁 16、17 題 <input type="checkbox"/> Certified deemed-compliant nonregistering local bank. 公認視為遵循 FATCA-無須註冊的本地銀行. 請續填第二頁 18 題 <input type="checkbox"/> Certified deemed-compliant FFI with only low-value accounts. 公認視為遵循 FATCA-僅有低價值帳戶的 FFI. 請續填第三頁 19 題 <input type="checkbox"/> Certified deemed-compliant sponsored, closely held investment vehicle. 公認視為遵循 FATCA-少數人持有之委託投資工具. 請續填第三頁 20、21 題 <input type="checkbox"/> Certified deemed-compliant limited life debt investment entity. 公認視為遵循 FATCA-有限年數債券投資公司. 請續填第三頁 22 題 <input type="checkbox"/> Certified deemed-compliant investment advisors and investment managers. 公認視為遵循 FATCA-投資顧問和投資經理人. 請續填第三頁 23 題 <input type="checkbox"/> Owner-documented FFI. 已提供所有人資訊之外國金融機構. 請續填第三、四頁 24 題 <input type="checkbox"/> Restricted distributor. 指定用途基金管理者. 請續填第四頁 25 題	<input type="checkbox"/> Nonreporting IGA FFI 依跨國協議無須申報的 FFI. 請續填第四頁 26 題 <input type="checkbox"/> Foreign government, government of a U.S. possession, or foreign central bank of issue. 外國政府機構, 美國屬地政府機構, 外國中央銀行. 請續填第五頁 27 題 <input type="checkbox"/> International organization. 國際組織. 請續填第五頁 28 題 <input type="checkbox"/> Exempt retirement plans. 豁免退休方案. 請續填第五、六頁 29 題 <input type="checkbox"/> Entity wholly owned by exempt beneficial owners. 豁免受益人百分之百持有之實體. 請續填第六頁 30 題 <input type="checkbox"/> Territory financial institution. 美國屬地金融機構. 請續填第六頁 31 題 <input type="checkbox"/> Nonfinancial group entity. 非金融集團之實體. 請續填第六頁 32 題 <input type="checkbox"/> Excepted nonfinancial start-up company. 成立不滿兩年的新創公司. 請續填第六頁 33 題 <input type="checkbox"/> Excepted nonfinancial entity in liquidation or bankruptcy. 清算中或破產中之非金融法人. 請續填第六頁 34 題 <input type="checkbox"/> 501(c) organization. 屬美國 Section 501(C)之法人. 請續填第六頁 35 題 <input type="checkbox"/> Nonprofit organization. 非營利組織. 請續填第七頁 36 題 <input type="checkbox"/> Publicly traded NFFE or NFFE affiliate of a publicly traded corporation. 公開上市櫃公司或其內之關係企業. 請續填第七頁 37 題 <input type="checkbox"/> Excepted territory NFFE 在美國屬地成立的外國非金融機構. 請續填第七頁 38 題 <input type="checkbox"/> Active NFFE. 積極的外國非金融機構. 請續填第七頁 39 題 <input type="checkbox"/> Passive NFFE. 消極的外國非金融機構. 請續填第七頁 40 題 <input type="checkbox"/> Excepted inter-affiliate FFI. 外國金融機構之內部關係企業. 請續填第七頁 41 題 <input type="checkbox"/> Direct reporting NFFE. 直接申報之外國非金融機構 <input type="checkbox"/> Sponsored direct reporting NFFE. 委託之直接申報的外國非金融機構. 請續填第八頁 42、43 題
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**6** Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address (other than a registered address).  
永久居住地址 - 樓, 號, 段, 路, 街 (不可填寫郵政信箱或轉交地址)

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<b>8</b> U.S. taxpayer identification number (TIN), if required 美國賦稅編號, 如沒有請空白	<b>9a</b> <input type="checkbox"/> GIIN 全球中間機構識別號碼	<b>b</b> <input type="checkbox"/> Foreign TIN 外國賦稅編號	<b>10</b> Reference number(s) (see instructions) 關聯號碼
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OMB No. 1545-1621

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Direct reporting NFFE. 直接申報之外國非金融機構

Sponsored direct reporting NFFE. 委託之直接申報的外國非金融機構. 請續填第八頁 42、43 題

6 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address (other than a registered address). 永久居住地址 - 樓, 號, 段, 路, 街 (不可填寫郵政信箱或轉交地址)

City or town, state or province. Include postal code where appropriate. 市或鎮, 州或省 (如適用請包括郵遞區號) - 區, 鄉, 鎮, 縣, 市, 郵遞區號

Country 國家

7 Mailing address (if different from above) 郵寄地址 (如與上方地址不同) - 樓, 號, 段, 路, 街

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Country 國家

8 U.S. taxpayer identification number (TIN), if required 美國賦稅編號, 如沒有請空白

9a  GIIN 全球中間機構識別號碼

b  Foreign TIN 外國賦稅編號

10 Reference number(s) (see instructions) 關聯號碼



# W-8BEN-E 填寫說明

**W-8BEN-E** Certificate of Status of Beneficial Owner for United States Tax Withholding and Reporting (Entities)  
OMB No. 1545-1621

- Do NOT use this form for:
- U.S. entity or U.S. citizen or resident
  - A foreign individual
  - A foreign individual or entity claiming that income is effectively connected with the conduct of trade or business within the U.S. (unless claiming treaty benefits).
  - A foreign partnership, a foreign simple trust, or a foreign grantor trust (unless claiming treaty benefits) (see instructions for exceptions)
  - A foreign government, international organization, foreign central bank of issue, foreign tax-exempt organization, foreign private foundation, or government of a U.S. possession claiming that income is effectively connected U.S. income or that is claiming the applicability of section(s) 115(2), 501(c), 892, 895, or 1443(b) (unless claiming treaty benefits) (see instructions)
- Instead use Form:
- W-9
  - W-8BEN (Individual)
  - W-8ECI
  - W-8IMY
  - W-8ECI or W-8EXP
  - W-8IMY

**Part I Identification of Beneficial Owner 實益所有人資料**

1 Name of organization that is the beneficial owner  
組織/企業名稱

2 Country of incorporation or organization  
國家

3 Name of disregarded entity receiving the payment (if applicable)  
無行企業實體之名稱 (如適用)

無行企業實體

4 Chapter 3 Status (entity type) (Must check one box only):

<input type="checkbox"/> Simple trust 簡易信託	<input type="checkbox"/> Grantor trust 讓與信託	<input type="checkbox"/> Corporation 公司	<input type="checkbox"/> Disregarded entity	<input type="checkbox"/> Partnership 合夥
<input type="checkbox"/> Central Bank of Issue 中央銀行	<input type="checkbox"/> Tax-exempt organization 免稅組織	<input type="checkbox"/> Complex trust 複合信託	<input type="checkbox"/> Estate 地產	<input type="checkbox"/> Government 政府機構
<input type="checkbox"/> Private foundation 私立機構				

If you entered disregarded entity, partnership, simple trust, or grantor trust above, is the entity a hybrid making a treaty claim? If "Yes" complete Part III. 若勾選以上四類之一，請問貴公司是否合併申請稅務協定？若是，請續填第二頁 14、15 題

5 Chapter 4 Status (FATCA status) (Must check one box only unless otherwise indicated). (See instructions for details and complete the certification below for the entity's applicable status). FATCA 身分 \*擇一勾選，除非有其他指示

<input type="checkbox"/> Nonparticipating FFI (including a limited FFI or an FFI related to a Reporting IGA FFI other than a registered deemed-compliant FFI or participating FFI). 未簽署 FATCA 協議的外國金融機構	<input type="checkbox"/> Nonreporting IGA FFI 依跨國協議無須申報的 FFI. 請續填第四頁 26 題
<input type="checkbox"/> Participating FFI. 已簽署 FATCA 協議的外國金融機構	<input type="checkbox"/> Foreign government, government of a U.S. possession, or foreign central bank of issue. 外國政府機構，美國屬地政府機構，外國中央銀行. 請續填第五頁 27 題
<input type="checkbox"/> Reporting Model 1 FFI. IGA 採 Model 1 的外國金融機構	<input type="checkbox"/> International organization. 國際組織. 請續填第五頁 28 題
<input type="checkbox"/> Reporting Model 2 FFI. IGA 採 Model 2 的外國金融機構	<input type="checkbox"/> Exempt retirement plans. 豁免退休方案. 請續填第五、六頁 29 題
<input type="checkbox"/> Registered deemed-compliant FFI 註冊視為遵循 FATCA 的 FFI	<input type="checkbox"/> Entity wholly owned by exempt beneficial owners. 豁免受益人百分之百持有之實體. 請續填第六頁 30 題
<input type="checkbox"/> Sponsored FFI that has not obtained a GIIN. 未得到 GIIN 之委託的外國金融機構. 請續填第二頁 16、17 題	<input type="checkbox"/> Territory financial institution. 美國屬地金融機構. 請續填第六頁 31 題
<input type="checkbox"/> Certified deemed-compliant nonregistering local bank. 公認視為遵循 FATCA-無須註冊的本地銀行. 請續填第二頁 18 題	<input type="checkbox"/> Nonfinancial group entity. 非金融集團之實體. 請續填第六頁 32 題
<input type="checkbox"/> Certified deemed-compliant FFI with only low-value accounts. 公認視為遵循 FATCA-僅有低價值帳戶的 FFI. 請續填第三頁 19 題	<input type="checkbox"/> Excepted nonfinancial start-up company. 成立不滿兩年的新創公司. 請續填第六頁 33 題
<input type="checkbox"/> Certified deemed-compliant sponsored, closely held investment vehicle. 公認視為遵循 FATCA-少數人持有之委託投資工具. 請續填第三頁 20、21 題	<input type="checkbox"/> Excepted nonfinancial entity in liquidation or bankruptcy. 清算中或破產中之非金融法人. 請續填第六頁 34 題
<input type="checkbox"/> Certified deemed-compliant limited life debt investment entity. 公認視為遵循 FATCA-有限年數債券投資公司. 請續填第三頁 22 題	<input type="checkbox"/> 501(c) organization. 屬美國 Section 501(C)之法人. 請續填第六頁 35 題
<input type="checkbox"/> Certified deemed-compliant investment advisors and investment managers. 公認視為遵循 FATCA-投資顧問和投資經理人. 請續填第三頁 23 題	<input type="checkbox"/> Nonprofit organization. 非營利組織. 請續填第七頁 36 題
<input type="checkbox"/> Owner-documented FFI. 已提供所有人資訊之外國金融機構. 請續填第三、四頁 24 題	<input type="checkbox"/> Publicly traded NFFE or NFFE affiliate of a publicly traded corporation. 公開上市櫃公司或其內之關係企業. 請續填第七頁 37 題
<input type="checkbox"/> Restricted distributor. 指定用途基金管理者. 請續填第四頁 25 題	<input type="checkbox"/> Excepted territory NFFE 在美國屬地成立的外國非金融機構. 請續填第七頁 38 題
	<input type="checkbox"/> Active NFFE. 積極的外國非金融機構. 請續填第七頁 39 題
	<input type="checkbox"/> Passive NFFE. 消極的外國非金融機構. 請續填第七頁 40 題
	<input type="checkbox"/> Excepted inter-affiliate FFI. 外國金融機構之內部關係企業. 請續填第七頁 41 題
	<input type="checkbox"/> Direct reporting NFFE. 直接申報之外國非金融機構
	<input type="checkbox"/> Sponsored direct reporting NFFE. 委託之直接申報的外國非金融機構. 請續填第八頁 42、43 題

6 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address (other than a registered address).  
永久居住地址 - 樓, 號, 段, 路, 街 (不可填寫郵政信箱或轉交地址)

City or town, state or province. Include postal code where appropriate.  
市或鎮, 州或省 (如適用請包括郵遞區號) - 區, 鄉, 鎮, 縣, 市, 郵遞區號

Country  
國家

7 Mailing address (if different from above)  
郵寄地址 (如與上方地址不同) - 樓, 號, 段, 路, 街

City or town, state or province. Include postal code where appropriate.  
市或鎮, 州或省 (如適用請包括郵遞區號) - 區, 鄉, 鎮, 縣, 市, 郵遞區號

Country  
國家

8 U.S. taxpayer identification number (TIN), if required  
美國賦稅編號, 如沒有請空白

9a  GIIN  
全球中間機構識別號碼

b  Foreign TIN  
外國賦稅編號

10 Reference number(s) (see instructions)  
關聯號碼



# W-8BEN-E 填寫說明

Form **W-8BEN-E** Certificate of Status of Beneficial Owner for United States Tax Withholding and Reporting (Entities)  
(February 2014)  
Department of the Treasury Internal Revenue Service

OMB No. 1545-1621

▶ For use by entities. Individuals must use Form W-8BEN. ▶ Section references are to the Internal Revenue Code.  
▶ Information about Form W-8BEN-E and its separate instructions is at [www.irs.gov/formw8bene](http://www.irs.gov/formw8bene).  
▶ Give this form to the withholding agent or payer. Do not send to the IRS.

- Do NOT use this form for:**
- U.S. entity or U.S. citizen or resident
  - A foreign individual
  - A foreign individual or entity claiming that income is effectively connected with the conduct of trade or business within the U.S. (unless claiming treaty benefits).
  - A foreign partnership, a foreign simple trust, or a foreign grantor trust (unless claiming treaty benefits) (see instructions for exceptions)
  - A foreign government, international organization, foreign central bank of issue, foreign tax-exempt organization, foreign private foundation, or government of a U.S. possession claiming that income is effectively connected U.S. income or that is claiming the applicability of section(s) 115(2), 501(c), 892, 895, or 1443(b) (unless claiming treaty benefits) (see instructions)
- Instead use Form:**
- W-9
  - W-8BEN (Individual)
  - W-8ECI
  - W-8IMY
  - W-8ECI or W-8EXP
  - W-8IMY

**Part I Identification of Beneficial Owner 實益所有人資料**

**1** Name of organization that is the beneficial owner  
組織/企業名稱

**2** Country of incorporation or organization  
國家

**3** Name of disregarded entity receiving the payment (if applicable)  
無行企業實體之名稱 (如適用) 無行企業實體

**4** Chapter 3 Status (entity type) (Must check one box only):

Simple trust 簡易信託  Grantor trust 讓與信託  Corporation 公司  Disregarded entity  Partnership 合夥

Central Bank of Issue 中央銀行  Tax-exempt organization 免稅組織  Complex trust 複合信託  Estate 地產  Government 政府機構

Private foundation 私立機構

If you entered disregarded entity, partnership, simple trust, or grantor trust above, is the entity a hybrid making a treaty claim? If "Yes" complete Part III. 若勾選以上四類之一，請問貴公司是否合併申請稅務協定？若是，請續填第二頁 14、15 題

**5** Chapter 4 Status (FATCA status) (Must check one box only unless otherwise indicated). (See instructions for details and complete the certification below for the entity's applicable status). **FATCA 身分** \*擇一勾選，除非有其他指示

Nonparticipating FFI (including a limited FFI or an FFI related to a Reporting IGA FFI other than a registered deemed-compliant FFI or participating FFI). 未簽署 FATCA 協議的外國金融機構

Participating FFI. 已簽署 FATCA 協議的外國金融機構

Reporting Model 1 FFI. IGA 採 Model 1 的外國金融機構

Reporting Model 2 FFI. IGA 採 Model 2 的外國金融機構

Registered deemed-compliant FFI 註冊視為遵循 FATCA 的 FFI

Sponsored FFI that has not obtained a GIIN. 未得到 GIIN 之委託的外國金融機構。請續填第二頁 16、17 題

Certified deemed-compliant nonregistering local bank. 公認視為遵循 FATCA-無須註冊的本地銀行。請續填第二頁 18 題

Certified deemed-compliant FFI with only low-value accounts. 公認視為遵循 FATCA-僅有低價值帳戶的 FFI。請續填第三頁 19 題

Certified deemed-compliant sponsored, closely held investment vehicle. 公認視為遵循 FATCA-少數人持有之委託投資工具。請續填第三頁 20、21 題

Certified deemed-compliant limited life debt investment entity. 公認視為遵循 FATCA-有限年數債券投資公司。請續填第三頁 22 題

Certified deemed-compliant investment advisors and investment managers. 公認視為遵循 FATCA-投資顧問和投資經理人。請續填第三頁 23 題

Owner-documented FFI. 已提供所有人資訊之外國金融機構。請續填第三、四頁 24 題

Restricted distributor. 指定用途基金管理者。請續填第四頁 25 題

Nonreporting IGA FFI 依跨國協議無須申報的 FFI。請續填第四頁 26 題

Foreign government, government of a U.S. possession, or foreign central bank of issue. 外國政府機構，美國屬地政府機構，外國中央銀行。請續填第五頁 27 題

International organization. 國際組織。請續填第五頁 28 題

Exempt retirement plans. 豁免退休方案。請續填第五、六頁 29 題

Entity wholly owned by exempt beneficial owners. 豁免受益人百分之百持有之實體。請續填第六頁 30 題

Territory financial institution. 美國屬地金融機構。請續填第六頁 31 題

Nonfinancial group entity. 非金融集團之實體。請續填第六頁 32 題

Excepted nonfinancial start-up company. 成立不滿兩年的新創公司。請續填第六頁 33 題

Excepted nonfinancial entity in liquidation or bankruptcy. 清算中或破產中之非金融法人。請續填第六頁 34 題

501(c) organization. 屬美國 Section 501(C)之法人。請續填第六頁 35 題

Nonprofit organization. 非營利組織。請續填第七頁 36 題

Publicly traded NFFE or NFFE affiliate of a publicly traded corporation. 公開上市櫃公司或其內之關係企業。請續填第七頁 37 題

Excepted territory NFFE 在美國屬地成立的外國非金融機構。請續填第七頁 38 題

Active NFFE. 積極的外國非金融機構。請續填第七頁 39 題

Passive NFFE. 消極的外國非金融機構。請續填第七頁 40 題

Excepted inter-affiliate FFI. 外國金融機構之內部關係企業。請續填第七頁 41 題

Direct reporting NFFE. 直接申報之外國非金融機構

Sponsored direct reporting NFFE. 委託之直接申報的外國非金融機構。請續填第八頁 42、43 題

**6** Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address (other than a registered address).  
永久居住地址 - 樓, 號, 段, 路, 街 (不可填寫郵政信箱或轉交地址)

City or town, state or province. Include postal code where appropriate.  
市或鎮, 州或省 (如適用請包括郵遞區號) - 區, 鄉, 鎮, 縣, 市, 郵遞區號

Country  
國家

**7** Mailing address (if different from above)  
郵寄地址 (如與上方地址不同) - 樓, 號, 段, 路, 街

City or town, state or province. Include postal code where appropriate.  
市或鎮, 州或省 (如適用請包括郵遞區號) - 區, 鄉, 鎮, 縣, 市, 郵遞區號

Country  
國家

**8** U.S. taxpayer identification number (TIN), if required  
美國賦稅編號, 如沒有請空白

**9a**  GIIN  
全球中間機構識別號碼

**b**  Foreign TIN  
外國賦稅編號

**10** Reference number(s) (see instructions)  
關聯號碼

